### TILITY PATENT APPLICATION TRANSMITTAL (Small Entity)

Docket No. TWI-25010

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Total Pages in this Submission

#### TO THE COMMISSIONER FOR PATENTS

**Mail Stop Patent Application** 

| P.O. Box 1450<br>Alexandria, VA 22313-1450  | 10,           |  |  |  |  |  |
|---|---------------|--|--|--|--|--|
| Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application                                | for an        |  |  |  |  |  |
| invention entitled:   |               |  |  |  |  |  |
| FEED FORWARD CRITICAL DIMENSION CONTROL   |               |  |  |  |  |  |
|   |               |  |  |  |  |  |
|   |               |  |  |  |  |  |
| and invented by:  |               |  |  |  |  |  |
| Jon Opsal and Youxian Wen   |               |  |  |  |  |  |
|   | ;             |  |  |  |  |  |
|   |               |  |  |  |  |  |
| If a CONTINUATION APPLICATION, check appropriate box and supply the requisite information:  |               |  |  |  |  |  |
| ☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:  |               |  |  |  |  |  |
| Which is a:   |               |  |  |  |  |  |
| ☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:  |               |  |  |  |  |  |
| Which is a:   |               |  |  |  |  |  |
| ☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:  |               |  |  |  |  |  |
|   |               |  |  |  |  |  |
| Enclosed are:  Application Elements   |               |  |  |  |  |  |
| Application Elements  |               |  |  |  |  |  |
| 1. 🗵 Filing fee as calculated and transmitted as described below  |               |  |  |  |  |  |
| 2.  Specification having 20 pages and including the following:  |               |  |  |  |  |  |
| 2. 🗵 Specification having pages and including the following:  |               |  |  |  |  |  |
| a. 🗵 Descriptive Title of the Invention   |               |  |  |  |  |  |
| b. 🗵 Cross References to Related Applications (if applicable)   | •             |  |  |  |  |  |
|   |               |  |  |  |  |  |
| — "Transmit regarding Foundation Research Development (if applicable)   |               |  |  |  |  |  |
| d.   Reference to Sequence Listing, a Table, or a Computer Program Listing Appendix   |               |  |  |  |  |  |
| e. 🗵 Background of the Invention  |               |  |  |  |  |  |
| f. 🗵 Brief Summary of the Invention I hereby certify that this correspondence is being deposite   | d             |  |  |  |  |  |
| with the United States Postal Service "Express Mail Service  G.   Brief Description of the Drawings (if filed)  Label No. <u>EV338308267IIS</u> | ,<br>,        |  |  |  |  |  |
| postage paid in an envelope, addressed to: Commissions for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on March /5, 2004                  |               |  |  |  |  |  |
| i. 🗵 Claim(s) as Classified Below Dated: 03//5/04 By: Ustallman & POLLOCK, LLI  | <u>:</u><br>- |  |  |  |  |  |
| j. 🗵 Abstract of the Disclosure.  |               |  |  |  |  |  |

## UTILITY PATENT APPLICATION TRANSMITTAL (Small Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No. TWI-25010

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|          |             | Application Elements (Continued)   |  |  |  |
|----------|-------------|--|--|--|--|
| 3.       | $\boxtimes$ | Drawing(s) (when necessary as prescribed by 35 USC 113)  |  |  |  |
|          | a.          | □ Formal Number of Sheets  |  |  |  |
|          | b.          | ☑ Informal Number of Sheets 7  |  |  |  |
| 4.       | ×           | Oath or Declaration  |  |  |  |
|          | a.          | ☐ Newly executed (original or copy) ☐ Unexecuted   |  |  |  |
|          | b.          | ☐ Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional application only)  |  |  |  |
|          | C.          | ☐ With Power of Attorney ☑ Without Power of Attorney   |  |  |  |
|          | <b>d</b> .  | ☐ <u>DELETION OF INVENTOR(S)</u> Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. 1.63(d)(2) and 1.33(b).  |  |  |  |
| 5.       |             | Incorporation By Reference (usable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein. |  |  |  |
| 6.       |             | CD ROM or CD-R in duplicate, large table or Computer Program (Appendix)  |  |  |  |
| 7.<br>8. |             | Application Data Sheet (See 37 CFR 1.76)  Nucleotide and/or Amino Acid Sequence Submission (if applicable, all must be included)   |  |  |  |
|          | a.          | ☐ Computer Readable Form (CFR)   |  |  |  |
|          | b.          | ☐ Specification Sequence Listing on:   |  |  |  |
|          |             | i.   CD-ROM or CD-R (2 copies); or   |  |  |  |
|          |             | ii 🔲 Paper   |  |  |  |
|          | C.          | Statement(s) Verifying Identical Paper and Computer Readable Copy  |  |  |  |
|          |             | Accompanying Application Parts   |  |  |  |
| 9.       |             | Assignment Papers (cover sheet & document(s))  |  |  |  |
| 10.      |             | 37 CFR 3.73(B) Statement (when there is an assignee)   |  |  |  |
| 11.      |             | English Translation Document (if applicable)   |  |  |  |
| 12.      | $\boxtimes$ | Information Disclosure Statement/PTO-1449   Copies of IDS Citations  |  |  |  |
| 13.      |             | Preliminary Amendment  |  |  |  |
| 14.      | $\boxtimes$ | Return Receipt Postcard (MPEP 503) (Should be specifically itemized)   |  |  |  |
| 15.      |             | Certified Copy of Priority Document(s) (if foreign priority is claimed)  |  |  |  |
| 16.      | $\boxtimes$ | Certificate of Mailing   |  |  |  |
|          |             | ☐ First Class ☒ Express Mail (Specify Label No.): EV338308267US  |  |  |  |
|          |             |  |  |  |  |

# UTILITY PATENT APPLICATION TRANSMITTAL (Small Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No. TWI-25010

Total Pages in this Submission

|     | Accompanying Application Parts (Continued) |  |  |  |
|-----|--|--|--|--|
| 17. | $\boxtimes$                                | Applicant claims small entity status. See 37 CFR 1.27.   |  |  |
|     |  | ☐ (Optional) Small Entity Statement(s) - Specify Number of Statements Submitted:   |  |  |
|     |  |  |  |  |
| 18. |  | Additional Enclosures (please identify below):   |  |  |
|     |  |  |  |  |
| •   |  |  |  |  |
|     |  |  |  |  |
|     |  |  |  |  |
| •   |  |  |  |  |
| •   |  |  |  |  |
|     | •  | Request That Application Not Be Published Pursuant To 35 U.S.C. 122(b)(2)  |  |  |
| 19. |  | Pursuant to 35 U.S.C. 122(b)(2), Applicant hereby requests that this patent application not be published pursuant to 35 U.S.C. 122(b)(1). Applicant hereby certifies that the invention disclosed in this application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication of applications 18 months after filing of the application.  |  |  |
|     |  | Warning  |  |  |
|     |  | An applicant who makes a request not to publish, but who subsequently files in a foreign country or under a multilateral international agreement specified in 35 U.S.C. 122(b)(2)(B)(i), must notify the Director of such filing not later than 45 days after the date of the filing of such foreign or international application. A failure of the applicant to provide such notice within the prescribed period shall result in the application being regarded as abandoned, unless it is shown to the satisfaction of the Director that the delay in submitting the notice was unintentional. |  |  |
|     |  |  |  |  |
|     |  |  |  |  |

## UTILITY PATENT APPLICATION TRANSMITTAL (Small Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No. TWI-25010

Total Pages in this Submission

#### **Fee Calculation and Transmittal**

|                   |  | CLAIMS           | AS FILED       | · .   | •           |
|-------------------|--|------------------|----------------|---|-------------|
| For               | #Filed                                     | #Allowed         | #Extra         | Rate  | Fee         |
| Total Claims      | 34   | - 20 =           | 14             | × \$9.00  | \$126.00    |
| Indep., Claims    | 2  | - 3 =            | 0              | × \$43.00   | \$0.00      |
| Multiple Dependen | t Claims (check if                         | applicable)      | <u> </u>       |   | \$0.00      |
|                   |  |                  |                | BASIC FE  | EE \$385.00 |
| OTHER FEE (spec   | cify purpose)                              |                  |                | -   | \$0.00      |
|                   |  |                  |                | TOTAL FILING FE   | \$511.00    |
|                   | any overpayment.<br>e any additional filin |                  |                | F.R. 1.16 and 1.17.   | •           |
| as described be   | the amount of                              |                  | as filing fee. | Account No. 50-170  | <b>J3</b>   |
| ☐ Charge          |  | n 37 C.F.R. 1.18 |                | ng of the Notice of Allowance,   ↑  |             |
| Dated: March 15   | , 2004                                     |                  | J:             | Signature<br>son D. Lohr (Reg. No. 48,163)                                    |             |
|                   |  |                  | 12             | TALLMAN & POLLOCK LLP<br>21 Spear Street, Suite 290<br>an Francisco, CA 94105 |             |
| cc:               |  |                  | т              | elephone: (415) 512-1312  |             |

Telephone: (415) 512-1312 Facsimile: (415) 512-1362

#### **UTILITY** PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under 37 CFR 1.53(b))

| Attorney Docket No.    | TWI-25010             |   |
|------------------------|-----------------------|---|
| First Inventor         | Jon Opsal et al.      | _ |
| Title                  | FEED FORWARD CRITICAL |   |
|                        | DIMENSION CONTROL     |   |
| Express Mail Label No. | EV338308267US         |   |

| EXPRESS MAIL CERTIFICATE  I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Service" Label  |  |  |  |  |
|--|--|--|--|--|
| No. EV338308267US postage paid in an envelope addressed to MA  | S Patent Application, Commissioner for Patents, P.O. Box 1450,               |  |  |  |
| Alexandria, VA 22313-1450 on March 15, 2004.   |  |  |  |  |
| 15   | (60-   |  |  |  |
| Dated: March , 2004  | Ву:  |  |  |  |
|  | Janet Chan   |  |  |  |
|  | M/C DATENT ADDI IO ATION   |  |  |  |
| A 1' ' T21   | M/S PATENT APPLICATION  ADDRESS TO: Commissioner for Patents                 |  |  |  |
| Application Elements   | ADDRESS TO: Commissioner for Patents P.O. Box 1450                           |  |  |  |
| See MPEP chapter 600 concerning utility patent application contents.   | Alexandria, VA 22313-1450  |  |  |  |
| 1.  Fee Transmittal Form (e.g., PTO/SB17)  | 5. \( \text{Oath or Declaration} \) [Total Pages 2]                          |  |  |  |
| (Submit an original and a duplicate for fee processing)  | a. Newly executed (original or copy)   |  |  |  |
| 2. Applicant claims small entity status.   | b. Copy from prior application (37 CFR 1:63(d))                              |  |  |  |
| See 37 CFR 1.27  | (for continuation/divisional with Box 18 completed)                          |  |  |  |
| 3. Specification [Total Pages 20]  | i. DELETION OF INVENTOR(S)   |  |  |  |
| (preferred arrangement set forth below) - Descriptive title of the invention   | Signed Statement attached deleting inventor(s)                               |  |  |  |
| - Cross Reference to Related Applications  | name in the prior application, see 37 CFR                                    |  |  |  |
| - Statement Regarding Fed sponsored R & D  | 1.63(d)(2) and 1.33(b)  6. Application Data Sheet. See 37 CFR 1.76           |  |  |  |
| <ul> <li>Reference to sequence listing, a table, or a computer<br/>program listing appendix</li> </ul>   | 7. CD ROM or CD-R in duplicate, large table or                               |  |  |  |
| - Background of the Invention  | Computer Program (Appendix)  |  |  |  |
| - Brief Summary of the Invention   | 8. Nucleotide and/or Amino Acid Sequence Submission                          |  |  |  |
| <ul> <li>Brief Description of the Drawings (if filed)</li> <li>Detailed Description</li> </ul>   | (if applicable, all necessary)   |  |  |  |
| - Claim(s)   | a. Computer Readable Form (CFR)  |  |  |  |
| - Abstract of the Disclosure   | b. Specification Sequence Listing on:  |  |  |  |
| 4. Drawing(s) (35 U.S.C. 113) [Total Sheets <u>7</u> ]   | i. CD-ROM or CD-R (2 copies); or   |  |  |  |
|  | ii Paper   |  |  |  |
|  | c. Statements verifying identity of above copies                             |  |  |  |
| ACCOMPANYING   | APPLICATION PARTS  |  |  |  |
| 9. Assignment Papers (cover sheet & document(s))   | 14. Return Receipt Postcard (MPEP 503)                                       |  |  |  |
| 10. 37 CFR 3.73(b) Statement Power of Attorney   | (Should be specifically itemized)  |  |  |  |
| (when there is an assignee)  | 15. Certified Copy of Priority Document(s)                                   |  |  |  |
| 11. English Translation Documents (if applicable)  | (If foreign priority is claimed)   |  |  |  |
| 12. Information Disclosure   | 16. Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(i).                  |  |  |  |
| Statement (IDS)/PTO-1449 Citations   | Applicant must attach form PTO/SB/35 or its equivalent.                      |  |  |  |
| 13. Preliminary Amendment  | 17 Other   |  |  |  |
| 18 If a CONTINUING APPLICATION check appropriate box a   | nd supply the requisite information below and in the first sentence of the   |  |  |  |
| 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:  |  |  |  |  |
| Continuation Divisional Continuation-in-part (CIP) of prior Application No.:   |  |  |  |  |
| Prior application information: Examiner: Group/Art Unit:   |  |  |  |  |
| Prior application information: Examiner: Group/Art Unit: For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under   |  |  |  |  |
| Box 5b, is considered a part of the disclosure of the accompanying continu-  | ation or divisional application and is hereby incorporated by reference. The |  |  |  |
| incorporation can only be relied upon when a portion has been inadvertently  | y omitted from the submitted application parts.                              |  |  |  |
| 19. CORRESPONDENCE ADDRESS   |  |  |  |  |
| Customer Number 28584  | or Correspondence address below  |  |  |  |
| VN Customer Transper 20007   |  |  |  |  |
| Name (Print/Type) Jason D. Lohr  | Registration No. (Attorney/Agent) 48,163                                     |  |  |  |
| ( ) ( ) ( ) ( ) ( ) ( ) ( ) ( ) ( ) ( )  | J  |  |  |  |
| Signature: MMANA Signature:  | Date: March 15, 2004   |  |  |  |
| Firm Name: Stallman & Pollock LLP  |  |  |  |  |
| The state of the s |  |  |  |  |